Using Knowledge Units of Programming Languages to Recommend Reviewers for Pull Requests: An Empirical Study



Md Ahasanuzzaman



Gustavo A. Oliva



Ahmed E. Hassan





Finding the right reviewer for reviewing a piece of code is a difficult task

Finding the right reviewer for reviewing a piece of code is a difficult task

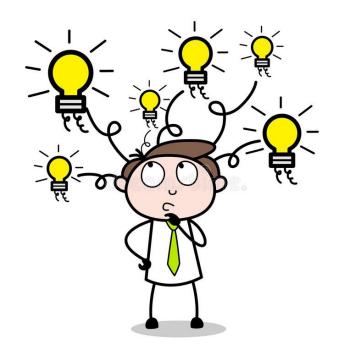


The quality of a code review inherently depends on the selection of the reviewer

Finding the right reviewer for reviewing a piece of code is a difficult task



The quality of a code review inherently depends on the selection of the reviewer



Finding the right reviewer for a set of code changes is always a **nontrivial task**, especially for a **large-scale**, **distributed software development**

Mapping different expertise to individual developers is a key requirement for effective code review



Mapping different expertise to individual developers is a key requirement for effective code review

Recent research studies have attempted to develop approaches to detect experts in specific topics [1]



[1] Identifying experts in software libraries and frameworks among GitHub users, MSR, 2016

We focus on a key facet of expertise, which is programming language (PL) expertise

7

We focus on a key facet of expertise, which is programming language (PL) expertise

Our rationale is that a piece of code involving concurrency is suitable to be reviewed by someone who has demonstrated experience in dealing with such type of code To capture the PL expertise of developers, we introduce the notion of Knowledge Units (KUs) of PL

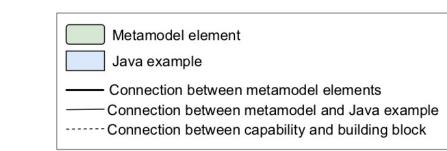
To capture the PL expertise of developers, we introduce the notion of Knowledge Units (KUs) of PL

Knowledge Unit (KU):

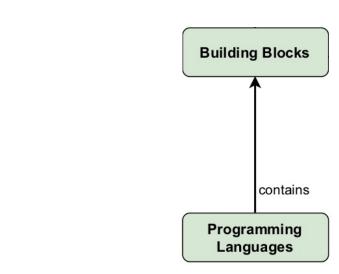
A Knowledge Unit (KU) is a cohesive set of **key capabilities** that are offered by one or more building blocks of a given programming language

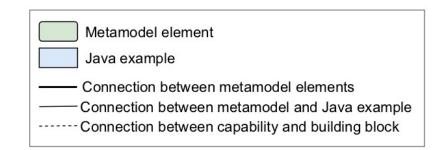
A Knowledge Unit (KU) is a cohesive set of **key capabilities** that are offered by one or more building blocks of a given

Programming Languages

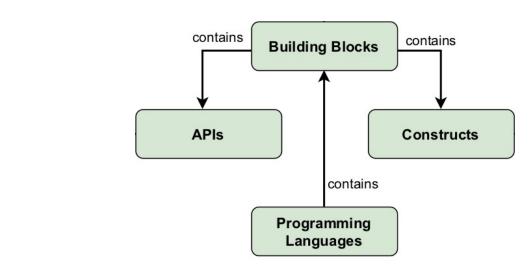


A Knowledge Unit (KU) is a cohesive set of **key capabilities** that are offered by one or more building blocks of a given



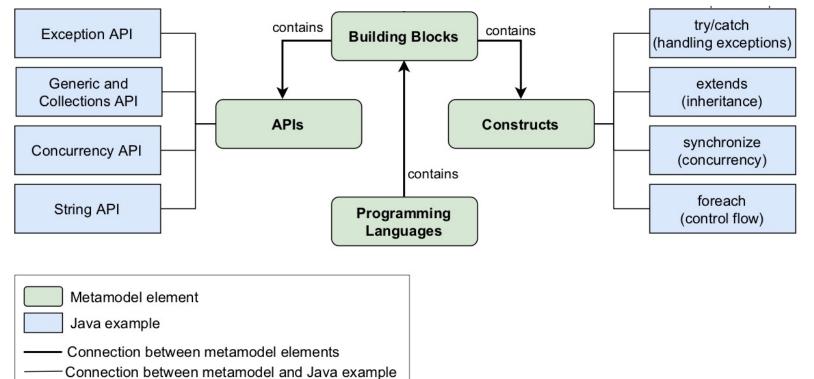


A Knowledge Unit (KU) is a cohesive set of **key capabilities** that are offered by one or more building blocks of a given



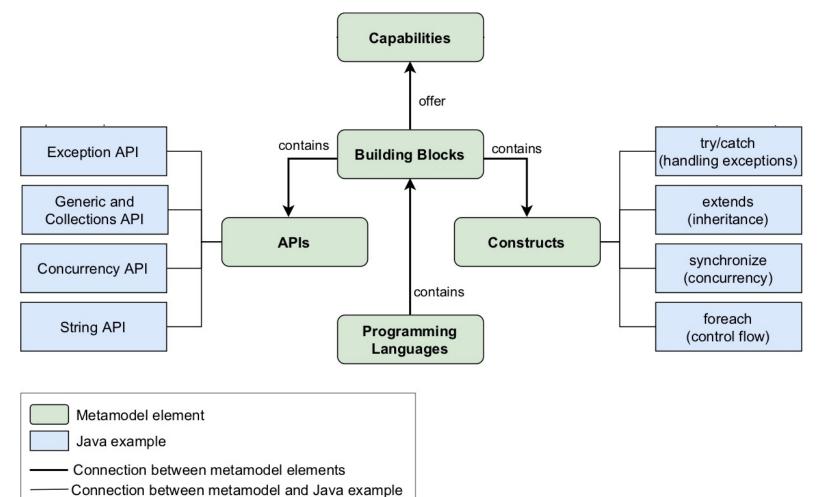
Metamodel element Java example
Connection between metamodel elements Connection between metamodel and Java example Connection between capability and building block

A Knowledge Unit (KU) is a cohesive set of **key capabilities** that are offered by one or more building blocks of a given



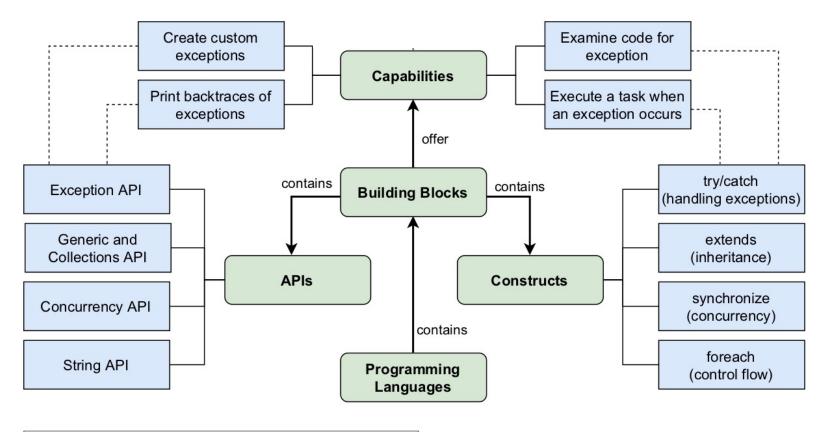
----- Connection between capability and building block

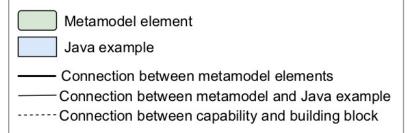
A Knowledge Unit (KU) is a cohesive set of **key capabilities** that are offered by one or more building blocks of a given



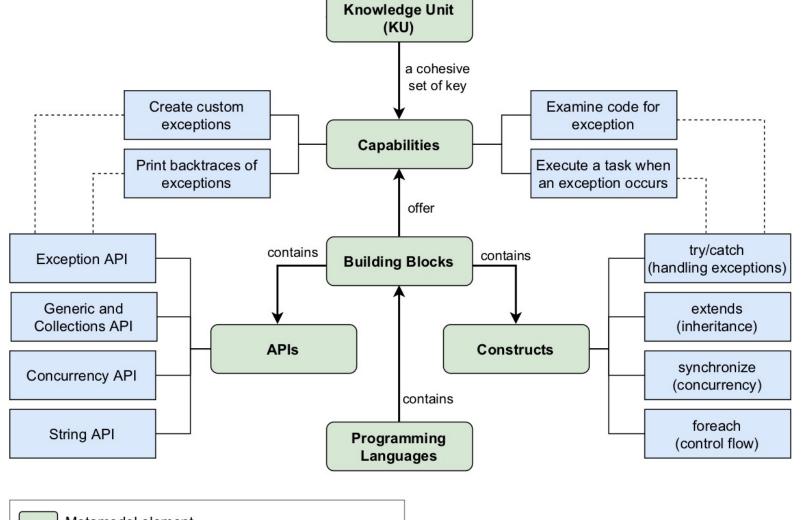
----- Connection between capability and building block

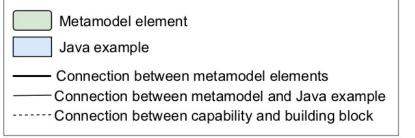
A Knowledge Unit (KU) is a cohesive set of **key capabilities** that are offered by one or more building blocks of a given



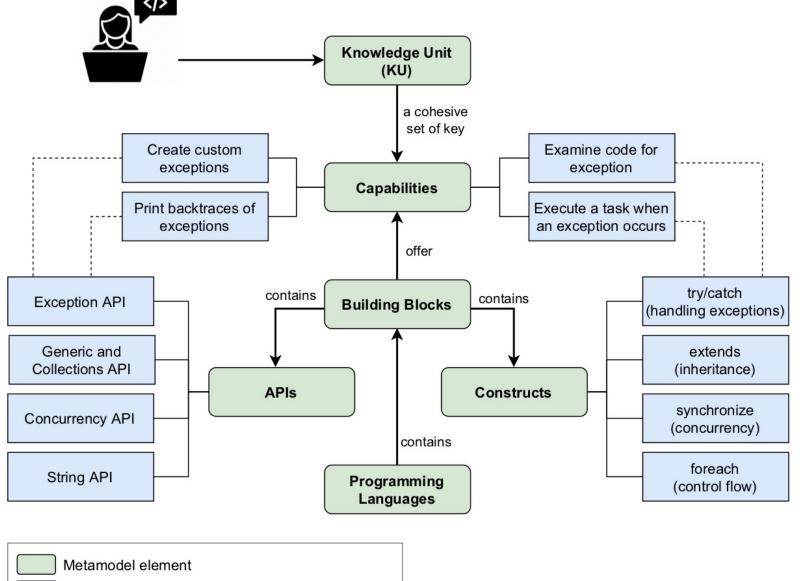


A Knowledge Unit (KU) is a cohesive set of **key capabilities** that are offered by one or more building blocks of a given





A Knowledge Unit (KU) is a cohesive set of **key capabilities** that are offered by one or more building blocks of a given



Java example

Connection between metamodel elements

——Connection between metamodel and Java example

----- Connection between capability and building block

Oracle Java SE and Java EE certification exams for the Java

Oracle Java SE and Java EE certification exams for the Java

Certification exams of a programming language aim to determine the **skills and knowledge** of a developer in using the key capabilities offered by the building blocks of that language

Oracle Java SE and Java EE certification exams for the Java

Certification exams of a programming language aim to determine the **skills and knowledge** of a developer in using the key capabilities offered by the building blocks of that language

Thus, certification exams capture the KUs of a programming language



Oracle University

Q Training Certification Solutions

Java Concurrency

- Create worker threads using Runnable, Callable and use an ExecutorService to concurrently execute tasks
- Identify potential threading problems among deadlock, starvation, livelock, and race conditions
- Use synchronized keyword and java.util.concurrent.atomic package to control the order of thread execution

Building Database Applications with JDBC

- Describe the interfaces that make up the core of the JDBC API including the Driver, Connection, Statement, and ResultSet interfaces and their relationship to provider implementations
- Identify the components required to connect to a database using the DriverManager class including the JDBC URL

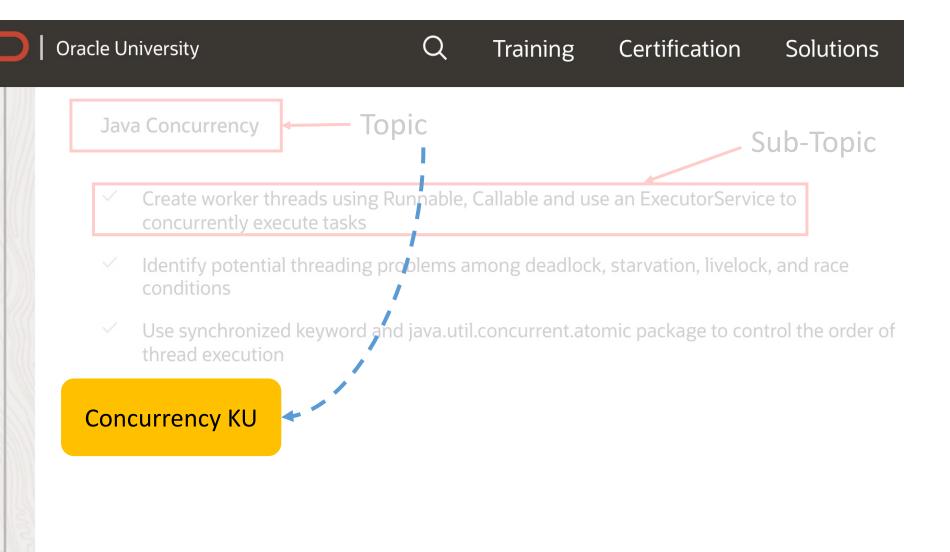


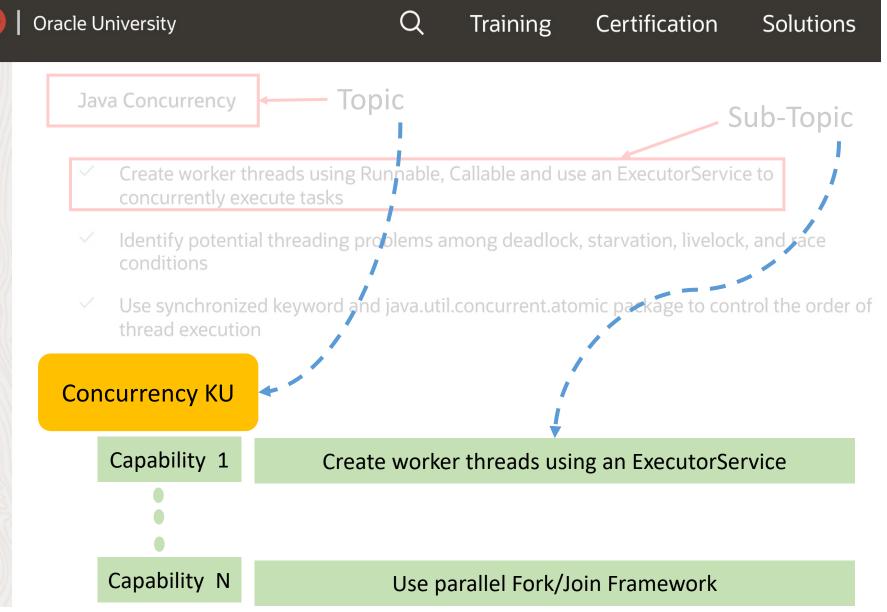
Oracle University Q Training Certification Solutions Java Concurrency Topic Sub-Topic

- Create worker threads using Runnable, Callable and use an ExecutorService to concurrently execute tasks
- Identify potential threading problems among deadlock, starvation, livelock, and race conditions
- Use synchronized keyword and java.util.concurrent.atomic package to control the order of thread execution

Building Database Applications with JDBC

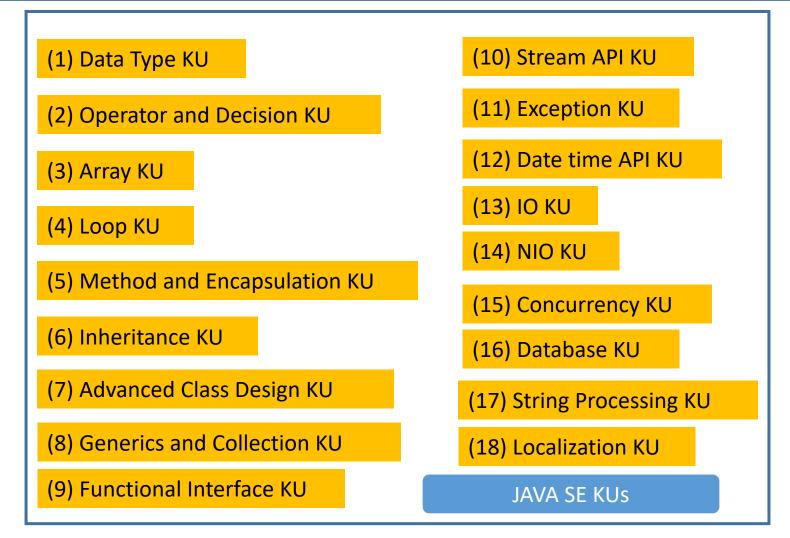
- Describe the interfaces that make up the core of the JDBC API including the Driver, Connection, Statement, and ResultSet interfaces and their relationship to provider implementations
- Identify the components required to connect to a database using the DriverManager class including the JDBC URL



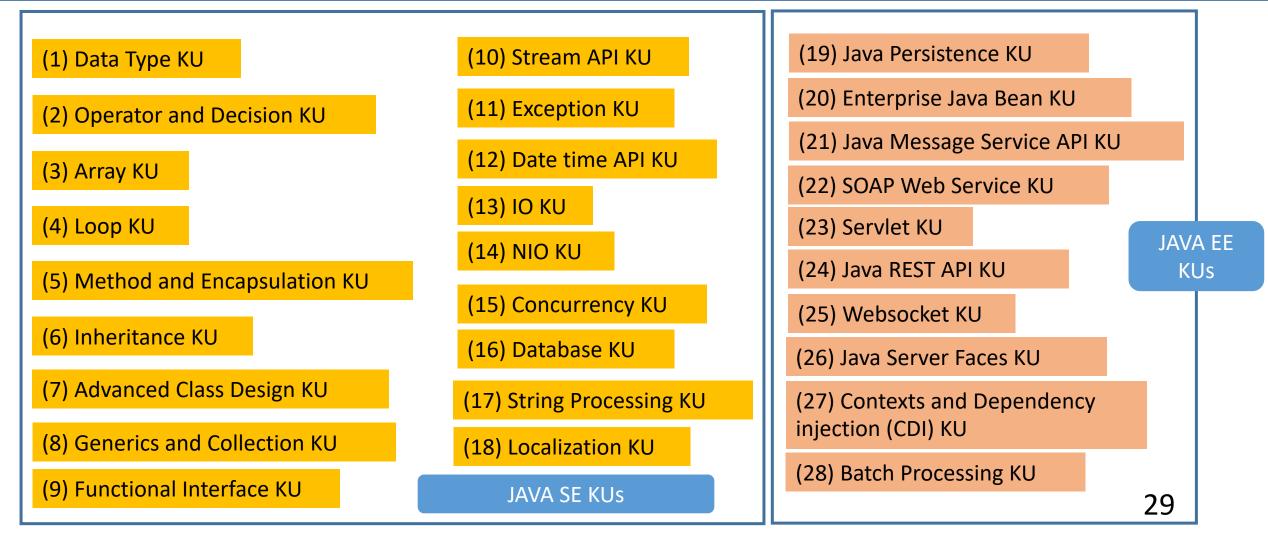


We identify 28 KUs of the Java programming language

We identify 28 KUs of the Java programming language

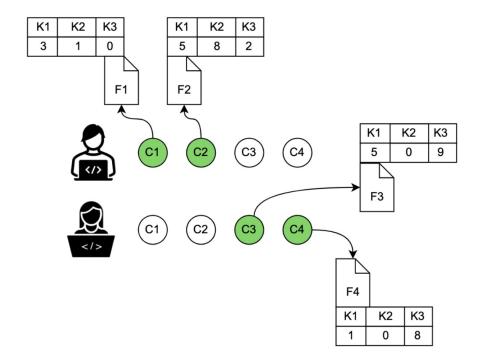


We identify 28 KUs of the Java programming language

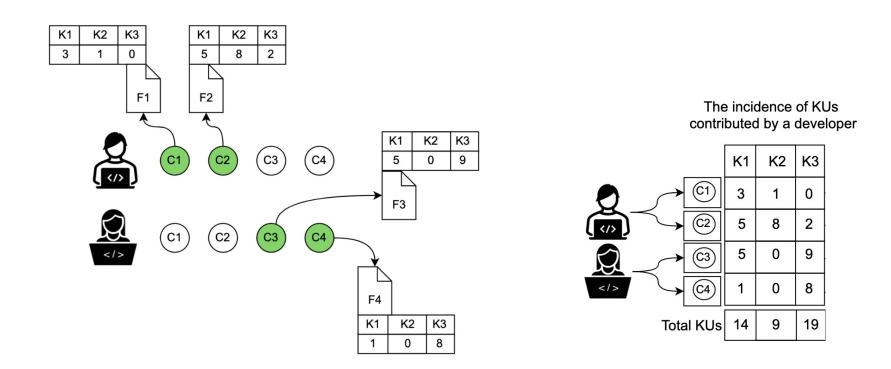


Our objective

How we can leverage KUs to build expertise-profile for developers and construct a **recommender system** (KUREC) for GitHub pull requests (PRs)

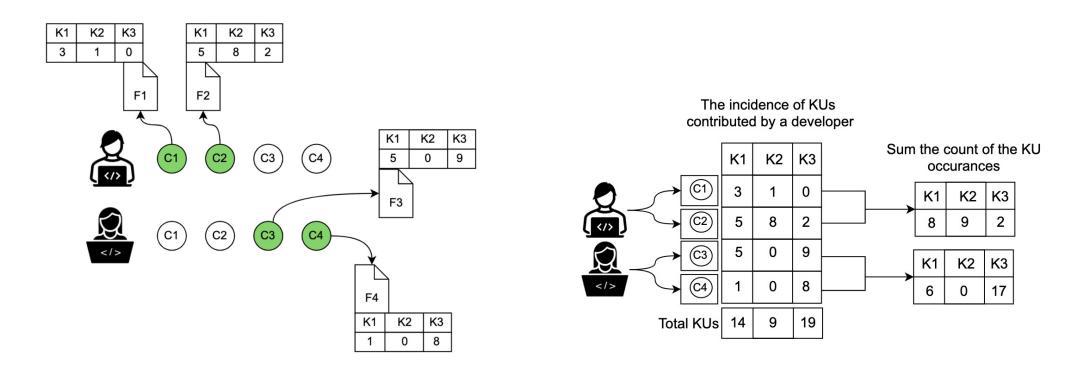


(a) Developers' commit activity and the changed files



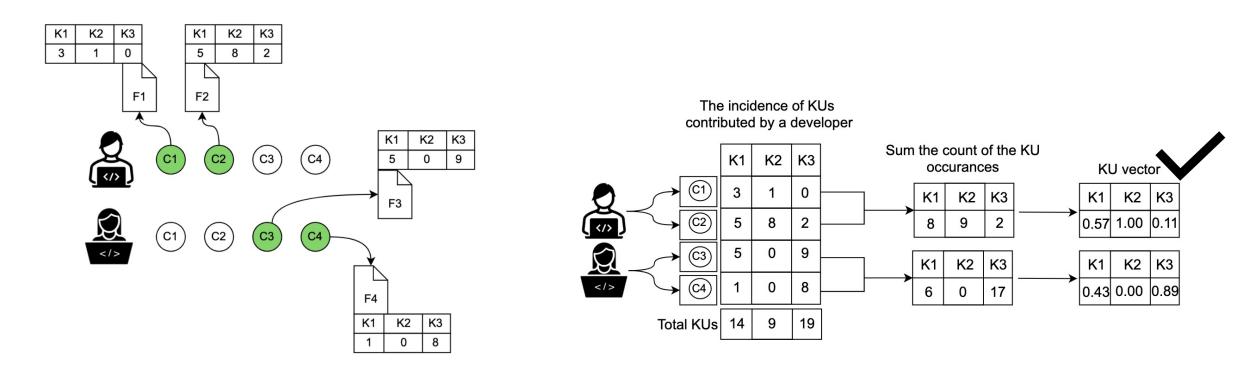
(a) Developers' commit activity and the changed files

(b) Representation of developer's expertise with KUs



(a) Developers' commit activity and the changed files

(b) Representation of developer's expertise with KUs



(a) Developers' commit activity and the changed files

(b) Representation of developer's expertise with KUs

We collected **290k** commit data and **65k** pull request data from 8 active Java projects in GitHub





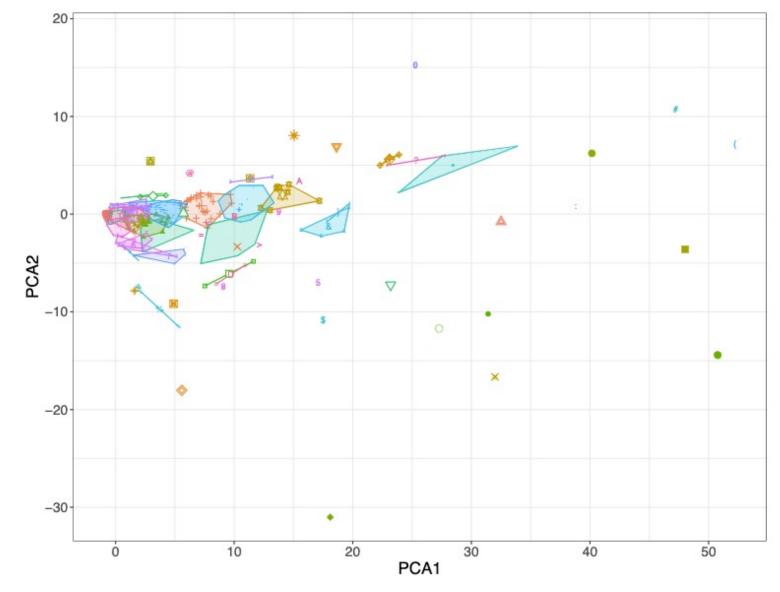






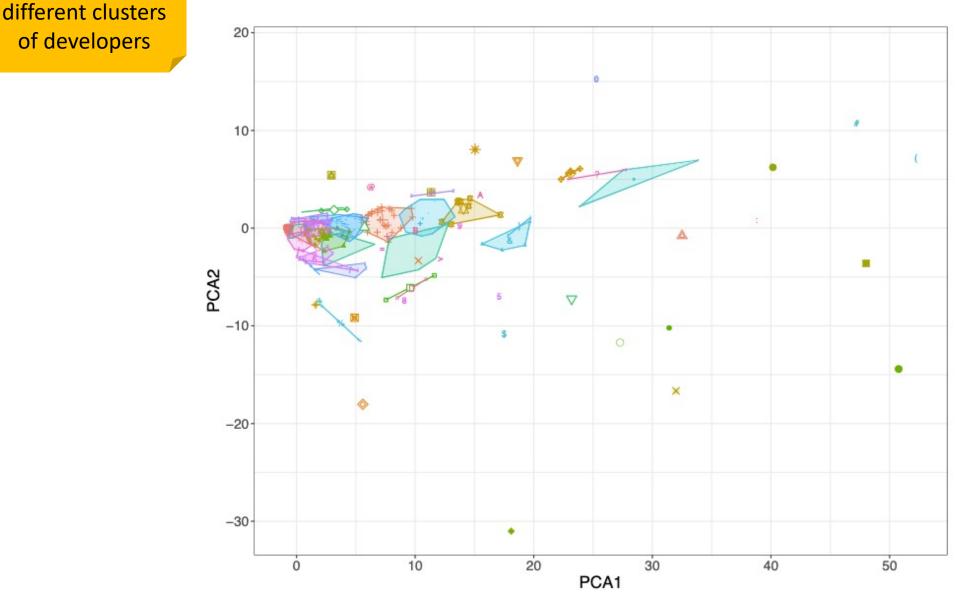


Preliminary Study: Do KUs provide a new lens to study developers' expertise?

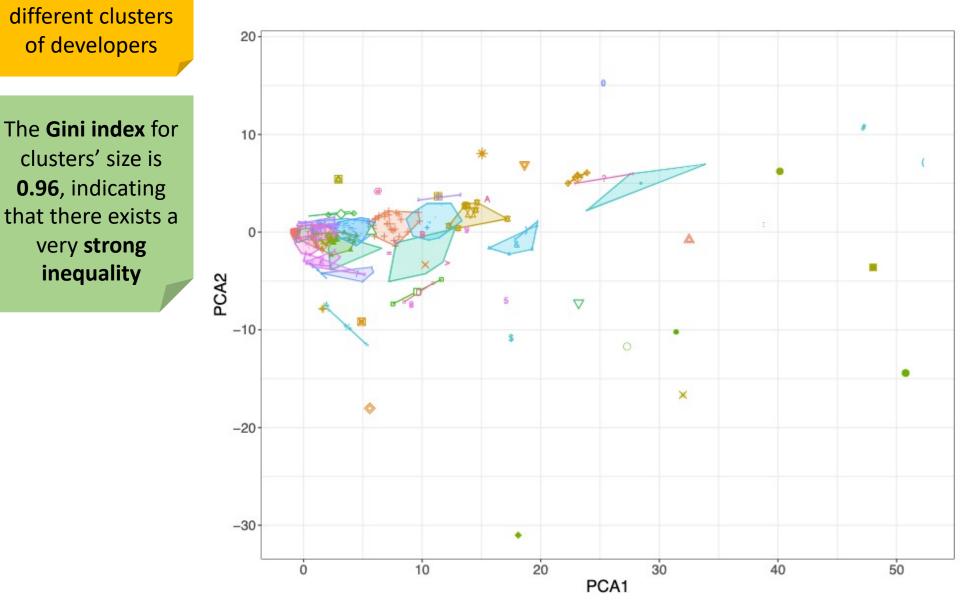


KUs identify 71

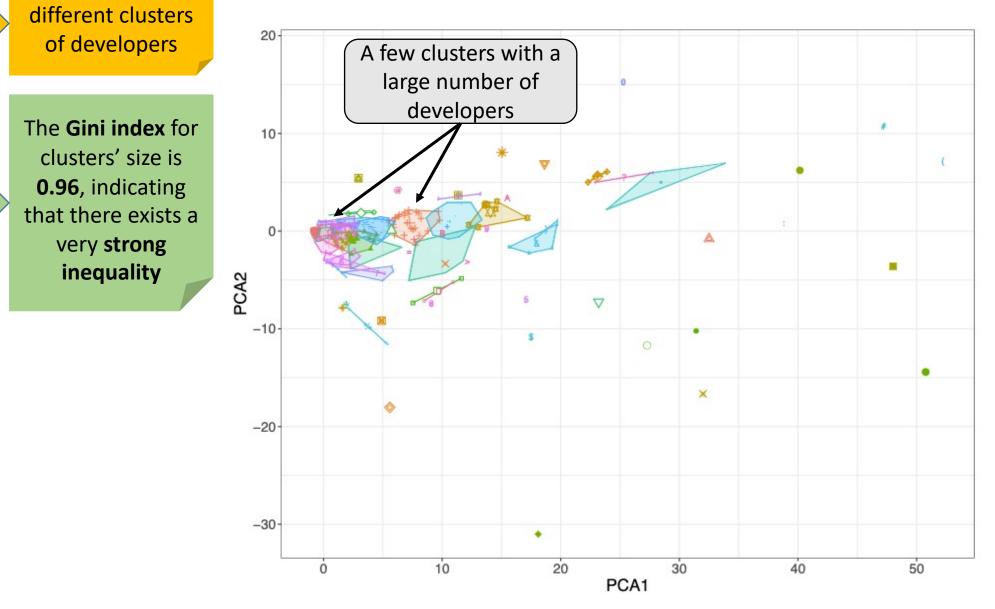
of developers

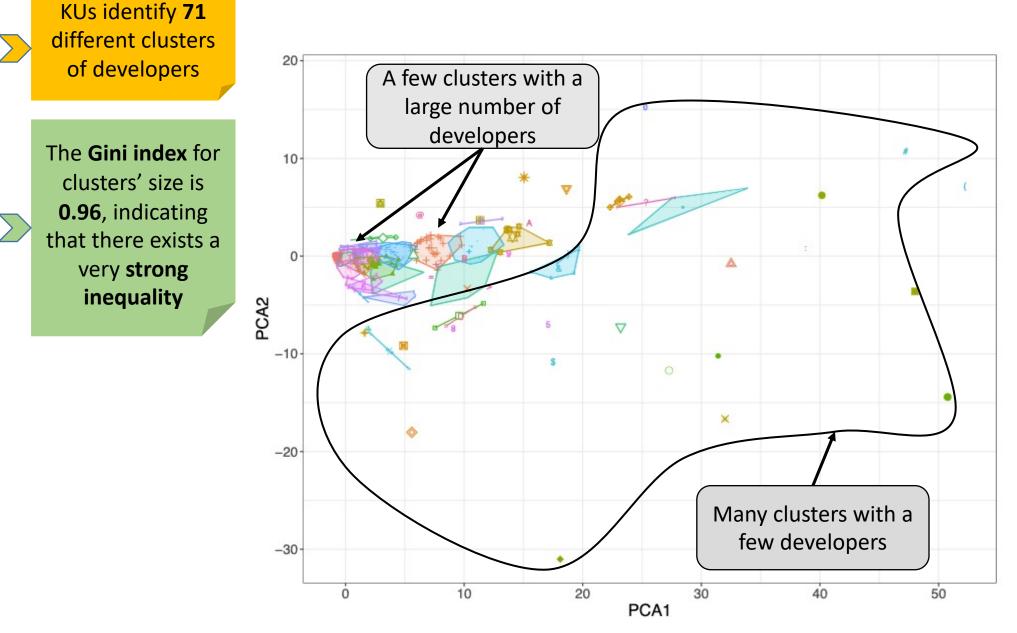


KUs identify 71

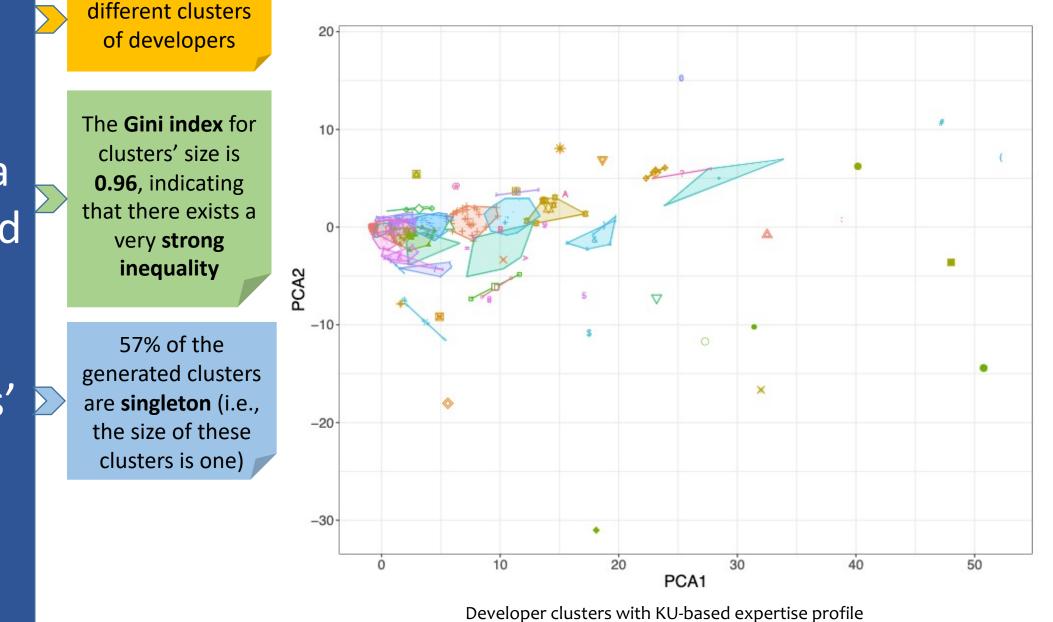


KUs identify 71



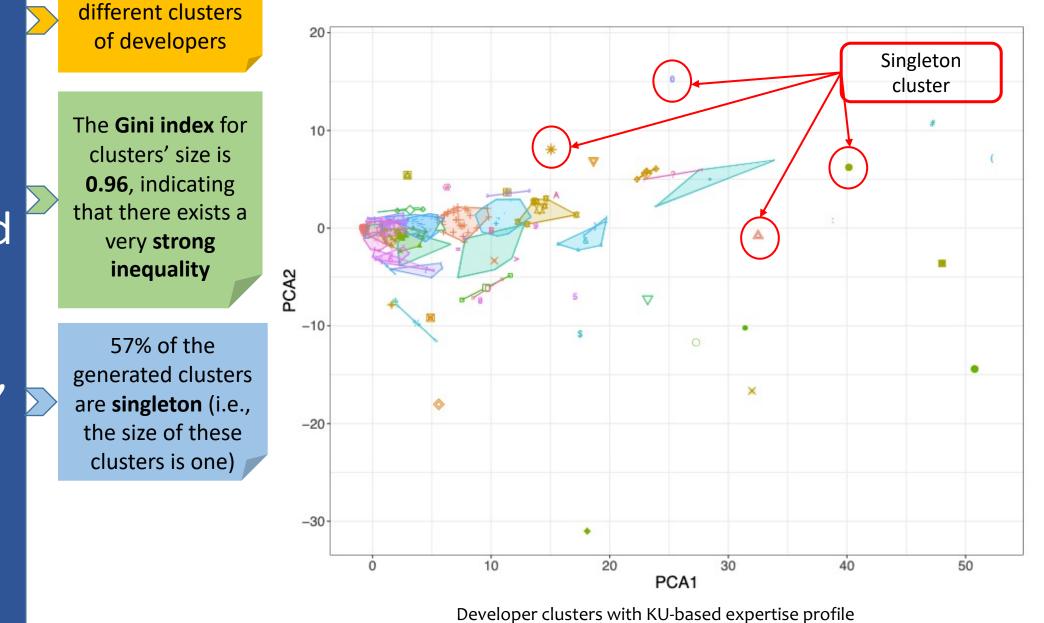


KUs identify 71



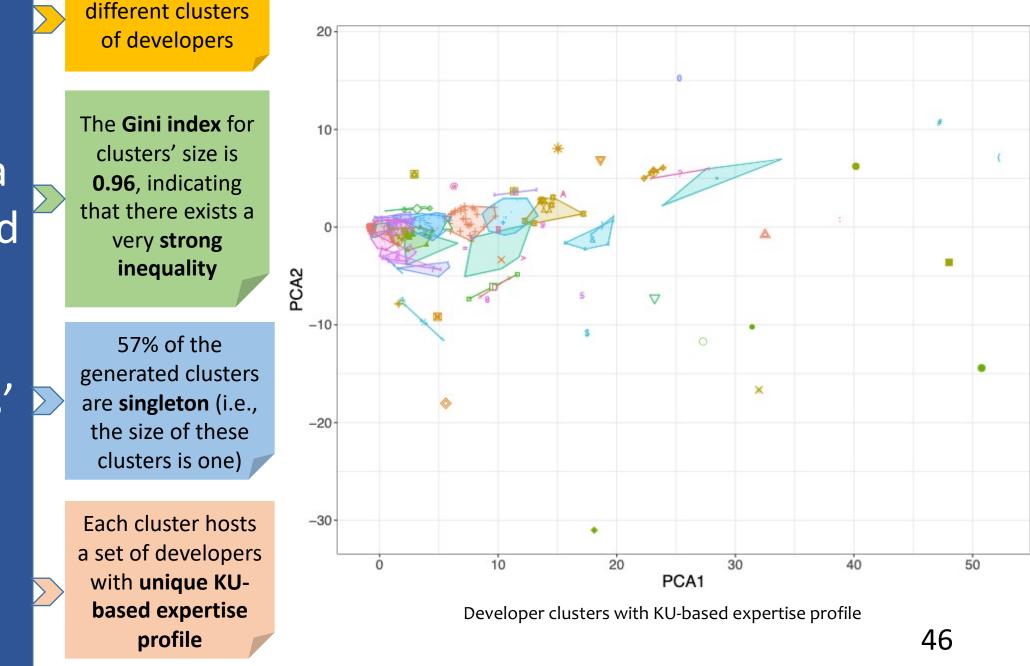
⁴⁴

KUs identify 71



45

KUs identify 71



KUs identify **71** different clusters of developers

The **Gini index** for clusters' size is **0.96**, indicating that there exists a

> Our encouraging results from the preliminary study motivate us to build a KU-based reviewer recommendation system (KUREC)

he size of these clusters is one)

Each cluster hosts a set of developers with unique KUbased expertise profile



Developer clusters with KU-based expertise profile

47

We address three research questions (RQs)

RQ1: How accurately can KUREC recommend code reviewers in pull requests?

RQ2: Can KUREC be made more accurate by combining it with existing recommenders?

RQ3: How reasonable are those recommendations of KUREC that are not matched with ground truth data?

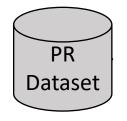
We address three research questions (RQs)

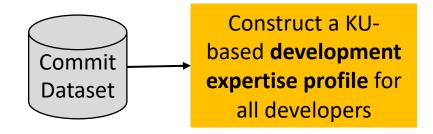
RQ1: How accurately can KUREC recommend code reviewers in pull requests?

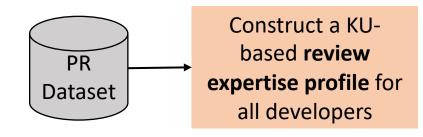
RQ2: Can KUREC be made more accurate by combining it with existing recommenders?

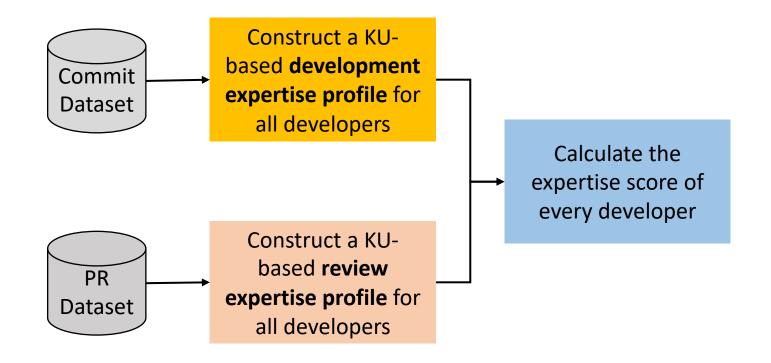
RQ3: How reasonable are those recommendations of KUREC that are not matched with ground truth data?

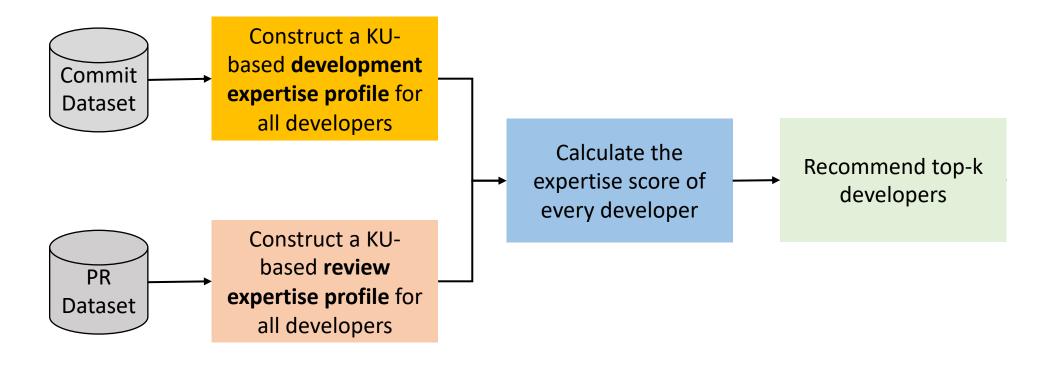


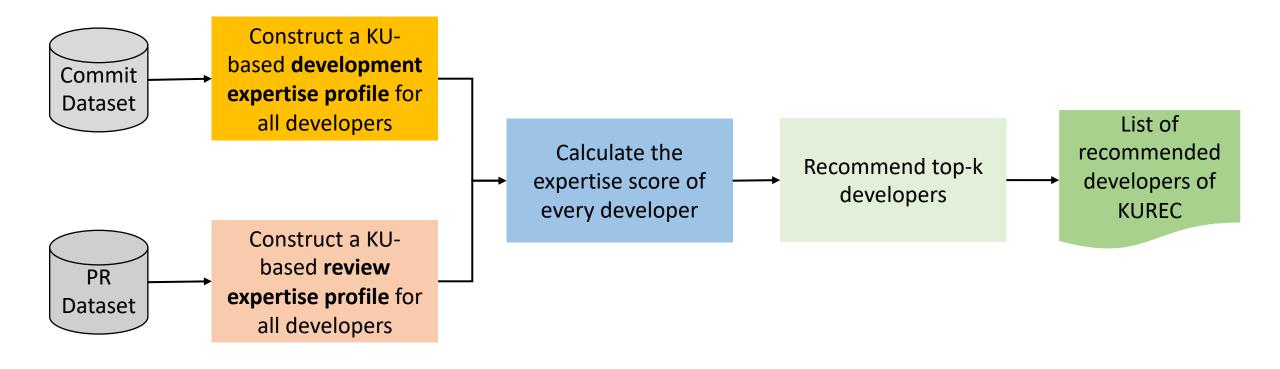












[1] Commit-frequency-based recommender (CF) (MSR 2013) The recommender sorts developers in decreasing order of commit counts and recommends the top-k ones

[1] Commit-frequency-based recommender (CF) (MSR 2013) The recommender sorts developers in decreasing order of commit counts and recommends the top-k ones

[2] Review-frequency-based recommender (RF) (APSEC 2014) The recommender sorts developers in decreasing order of review counts and recommends the top-k ones

[1] Commit-frequency-based recommender (CF) (MSR 2013) The recommender sorts developers in decreasing order of commit counts and recommends the top-k ones

[2] Review-frequency-based recommender (RF) (APSEC 2014)

[3] Modification-expertise-based recommender (ER) (CCSC 2000) The recommender sorts developers in decreasing order of review counts and recommends the top-k ones

The recommender sorts developers in reverse chronological order based on the date who last modified the changed file in a given PR. Finally, ER recommends the top-k ranked developers

[1] Commit-frequency-based recommender (CF) (MSR 2013)

[2] Review-frequency-based recommender (RF) (APSEC 2014)

[3] Modification-expertise-based recommender (ER) (CCSC 2000)

[4] Review-history-based recommender (CHREV) (TSE 2016) The recommender sorts developers in decreasing order of commit counts and recommends the top-k ones

The recommender sorts developers in decreasing order of review counts and recommends the top-k ones

The recommender sorts developers in reverse chronological order based on the date who last modified the changed file in a given PR. Finally, ER recommends the top-k ranked developers

CHREV distills review contribution into three measures:

- (1) total number of review comments
- (2) total number of workdays
- (3) recency of the review comments

CHREV generates a score for every developer based on these measures, sorts developers decreasing order of the score and recommends top-k

We use two popular metrics to evaluate the performance of recommenders

We use two popular metrics to evaluate the performance of recommenders

Top-k Accuracy
$$\sum_{r \in R} isCorrect(r, Top - k)$$

 $|R|$ Here, R denotes the set of PRs in the
test dataset. The isCorrect(r, Top-k)
returns 1 if at least one of top-k
developers is the correct reviewer of
the PR r and returns 0 otherwise.

We use two popular metrics to evaluate the performance of recommenders

Top-k Accuracy

$$\textit{Top-k accuracy} = \frac{\sum_{r \in R} isCorrect(r, Top - k)}{|R|}$$

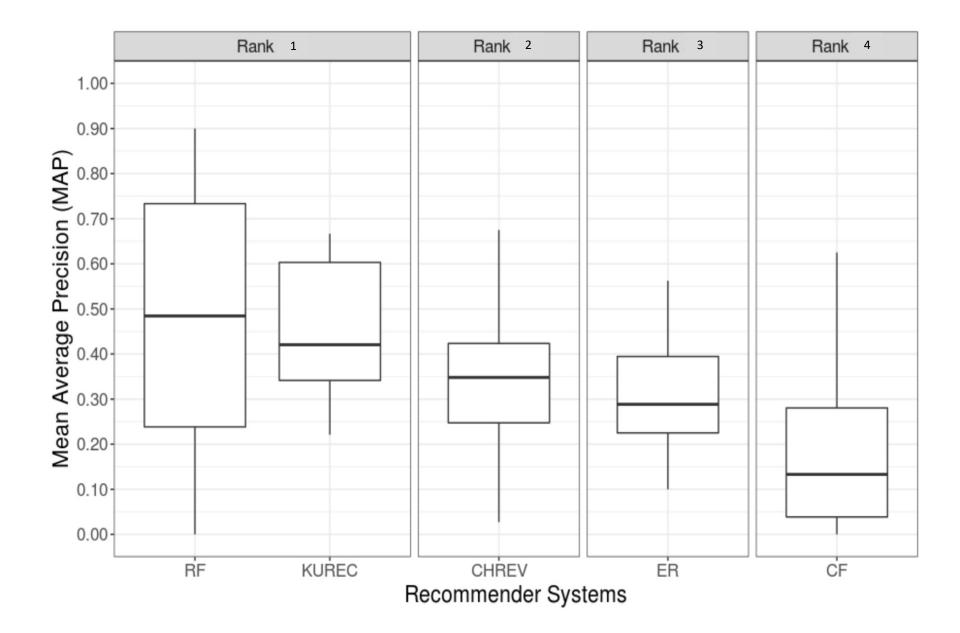
Here, R denotes the set of PRs in the test dataset. The isCorrect(r, Top-k) returns 1 if at least one of top-k developers is the correct reviewer of the PR r and returns 0 otherwise.

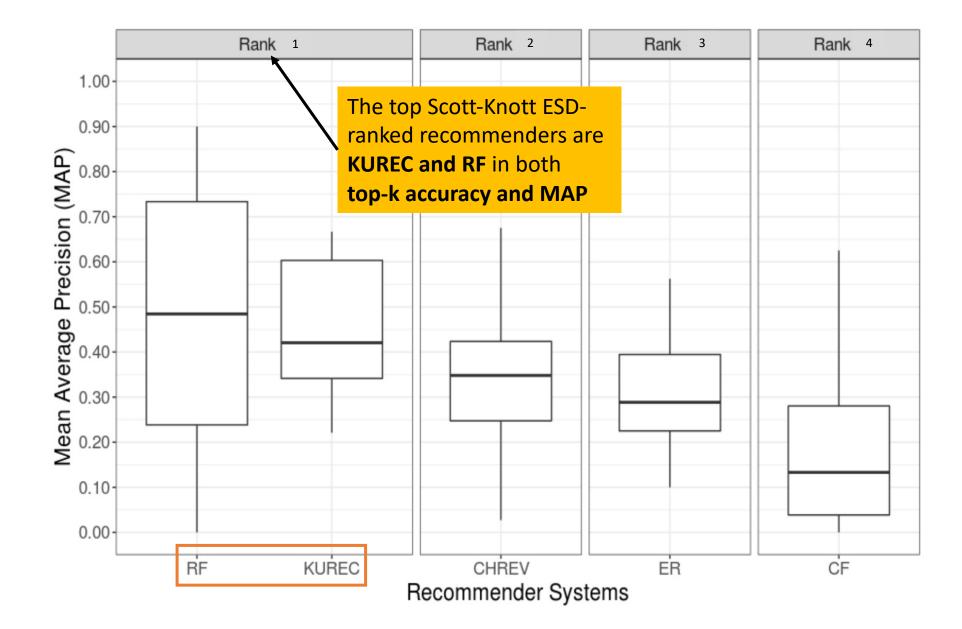
Mean Average Precision (MAP)

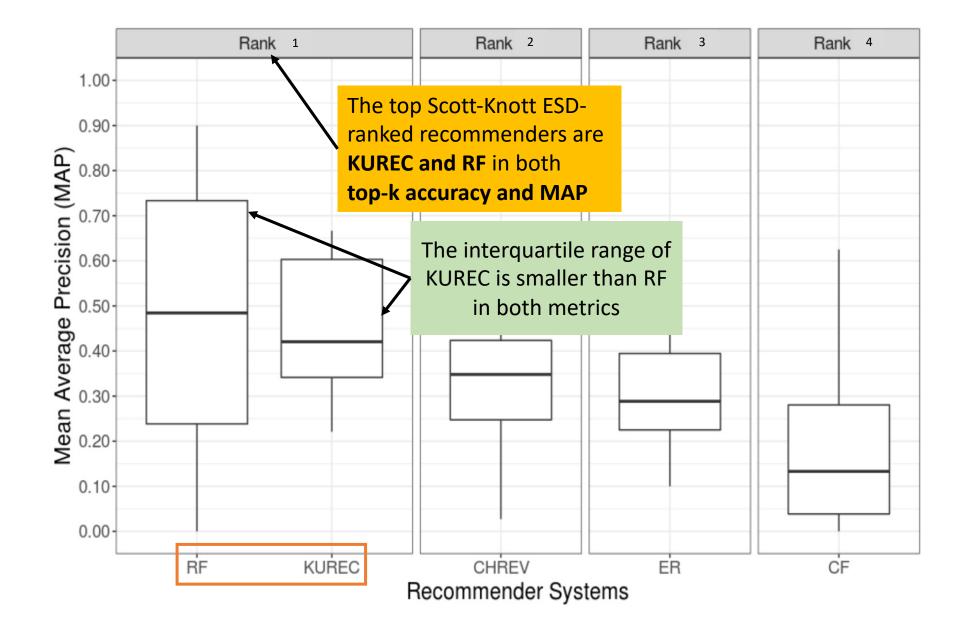
$$AP@k = \frac{\sum_{i=1}^{k} \frac{s(i)}{i} \times rel(i)}{\sum_{i=1}^{k} rel(i)}$$

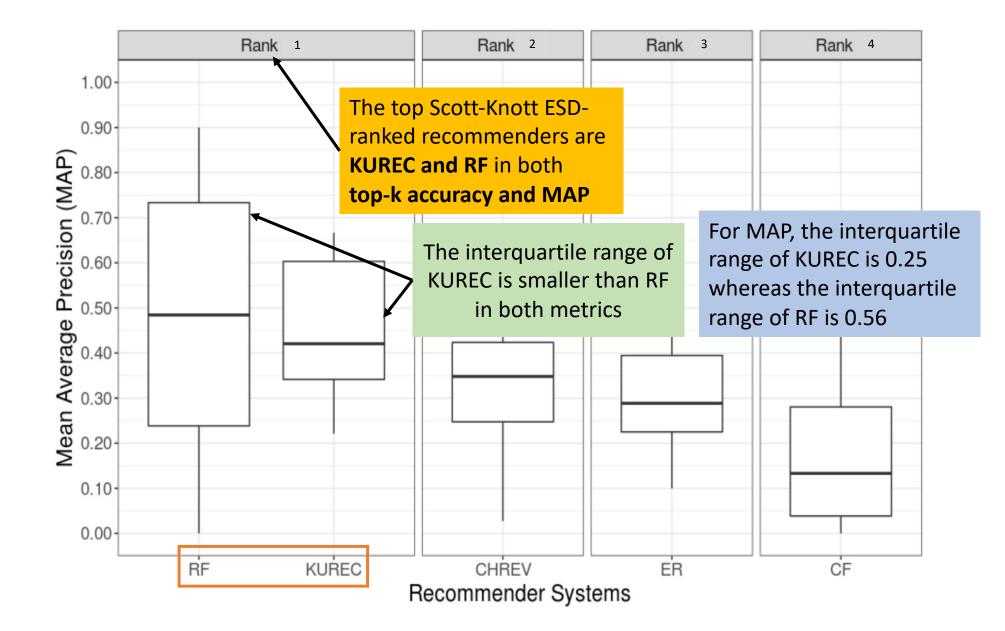
MAP @k is the average of AP@k over all the PRs in the test dataset

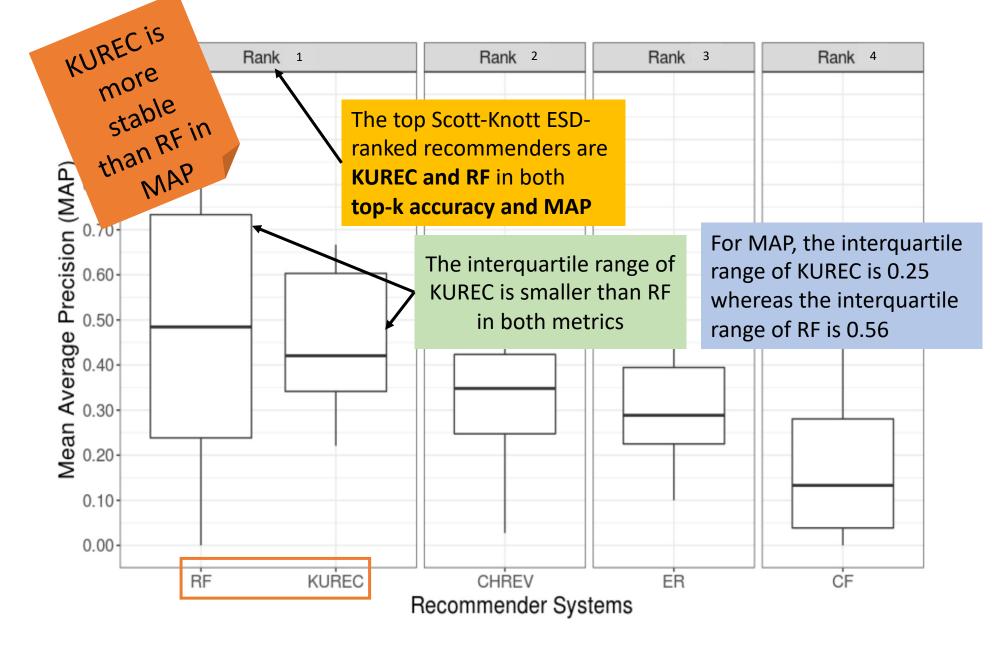
Here, i is the position of each developer in the recommended list of developers, and s(i) is the sequence number of the correct developer at position i. The rel(i) returns 1 if the ith developer in the list is correct and 0 otherwise.

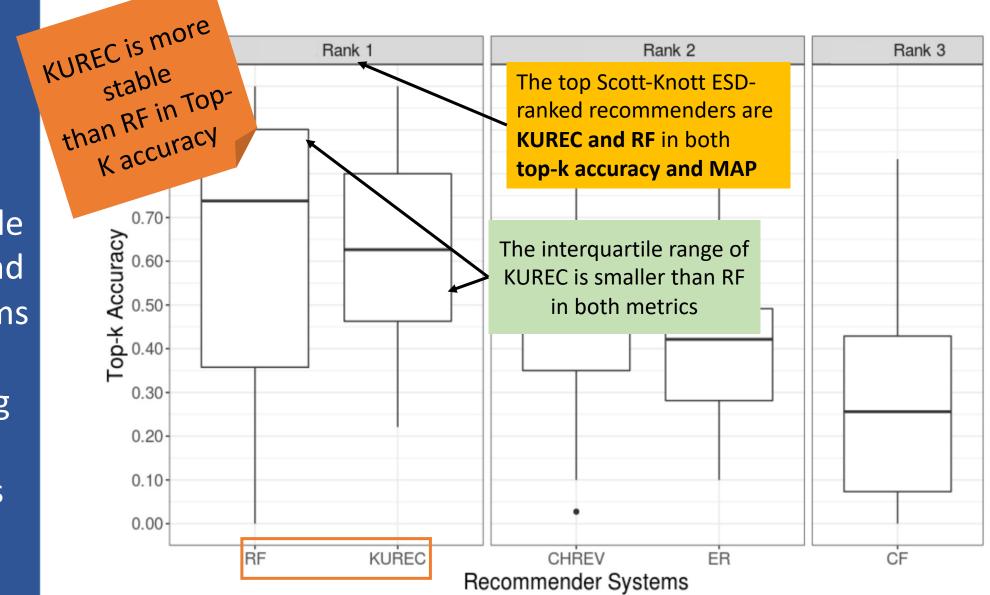












Summary of RQ1

KUREC outperforms the remaining three baselines and has a more stable performance compared to RF, which is a desired property in practice

We address three research questions (RQs)

RQ1: How accurately can KUREC recommend code reviewers in pull requests?

RQ2: Can KUREC be made more accurate by combining it with existing recommenders?

RQ3: How reasonable are those recommendations of KUREC that are not matched with ground truth data?

To construct a combined recommender by leveraging the recommendations of different recommenders, we are **motivated by the work of Malik and Hassan [1]**

To construct a combined recommender by leveraging the recommendations of different recommenders, we are **motivated by the work of Malik and Hassan [1]**

In this approach, all the recommenders uses a Best Recommender System Table (BRST) to track the best-performing recommender.

To construct a combined recommender by leveraging the recommendations of different recommenders, we are **motivated by the work of Malik and Hassan [1]**

In this approach, all the recommenders uses a Best Recommender System Table (BRST) to track the best-performing recommender.

We implement three techniques to update the BRST and these are our new recommenders based on heuristics

(1) Adaptive Frequency Technique (AD_FREQ)

The BRST stores the frequency of each recommender that becomes the best performing recommender. The recommender with the highest count is selected for recommendation.

	The BRST stores the frequency of each recommender that becomes
(1) Adaptive Frequency Technique (AD_FREQ)	the best performing recommender. The recommender with the
	highest count is selected for recommendation.

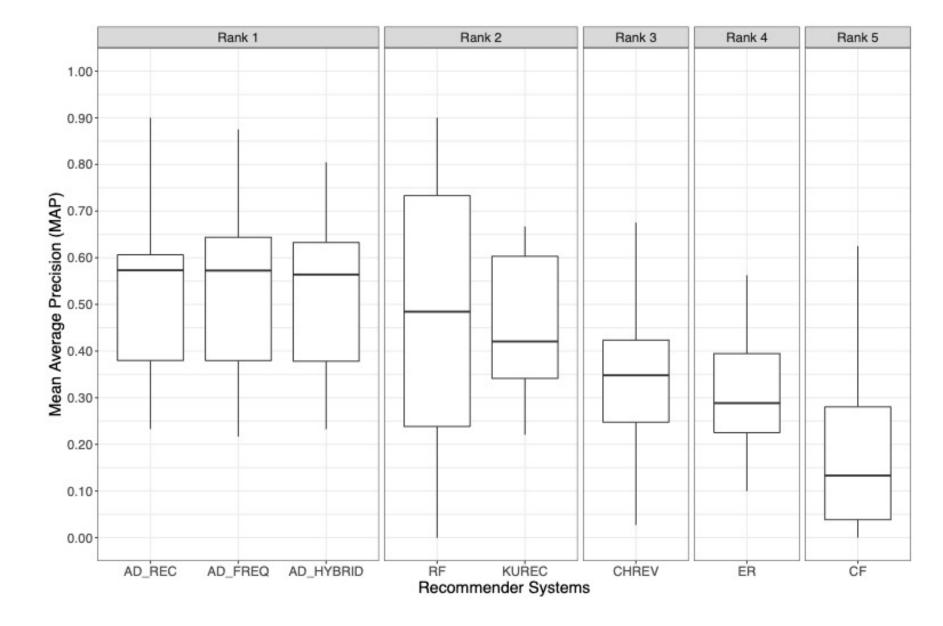
(2) Adaptive Recency Technique (AD_REC)	The BRST stores the best-performing recommender that is identified
	in the last PR.

	The BRST stores the frequency of each recommender that becomes
(1) Adaptive Frequency Technique (AD_FREQ)	the best performing recommender. The recommender with the
	highest count is selected for recommendation.

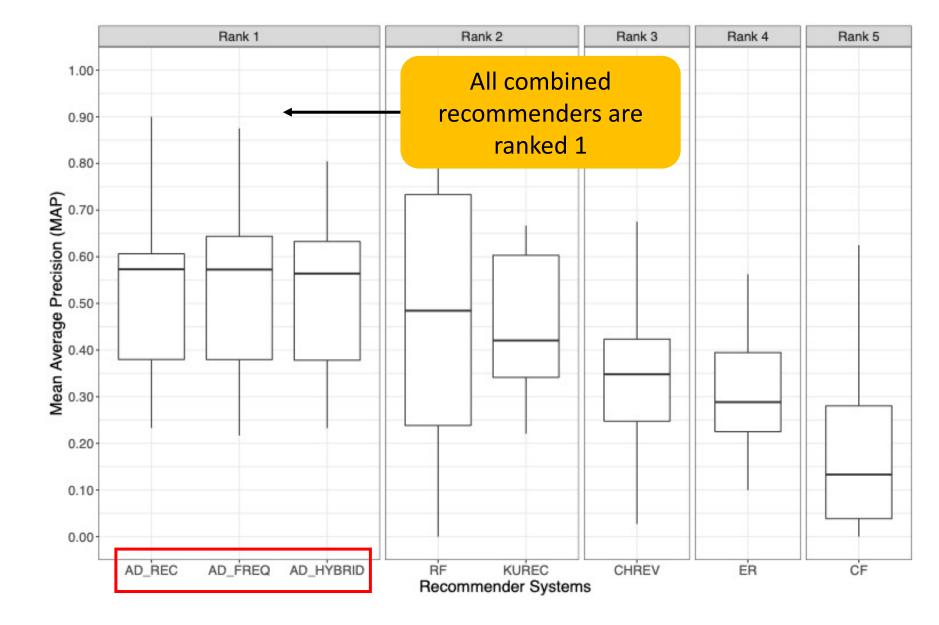
(2) Adaptive Recency Technique (AD_REC)	The BRST stores the best-performing recommender that is identified
	in the last PR.

(3) Adaptive Hybrid Technique (AD_HYBRID) We select the recommender that has the highest count in the BRST among the last 10 previous PRs.

All the combined recommenders outperform individual recommenders All the combined recommenders outperform individual recommenders



All the combined recommenders outperform individual recommenders



Summary of RQ2

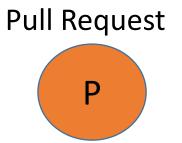
Combining the KU-based recommender (KUREC) with the baselines in a straight-forward manner results in better-performing recommenders

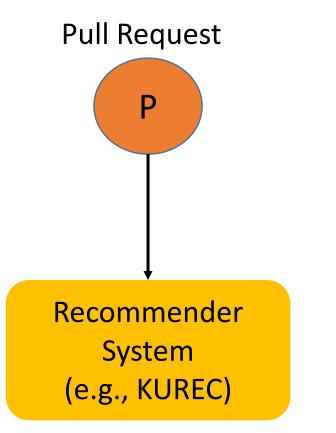
We address three research questions (RQs)

RQ1: How accurately can KUREC recommend code reviewers in pull requests?

RQ2: Can KUREC be made more accurate by combining it with existing recommenders?

RQ3: How reasonable are those recommendations of KUREC that are not matched with ground truth data?

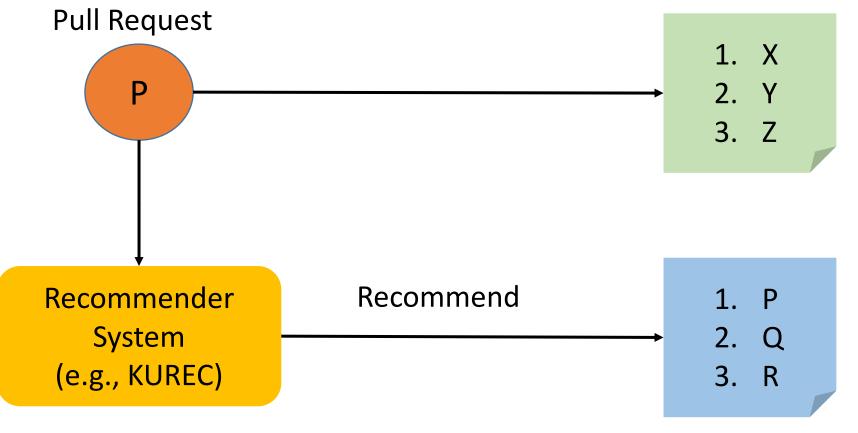




Actual Reviewers (Ground Truth data)

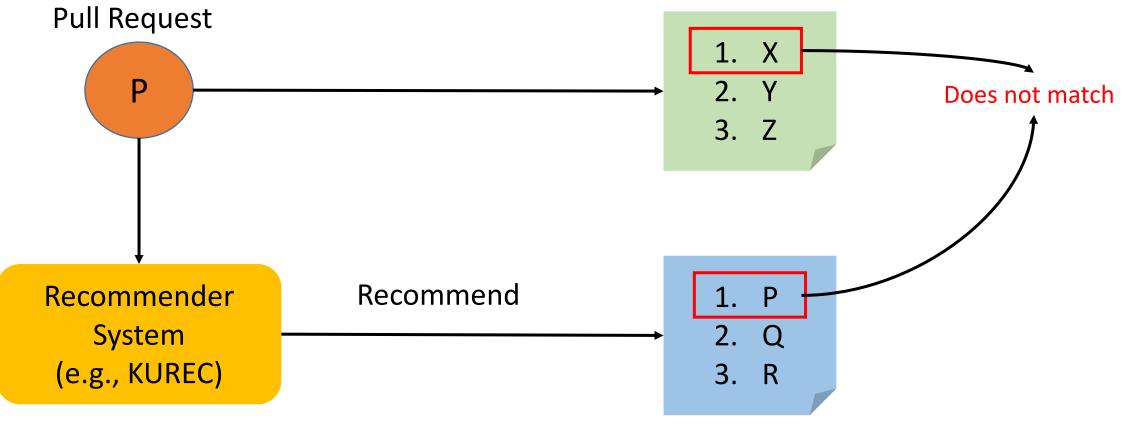


Actual Reviewers (Ground Truth data)



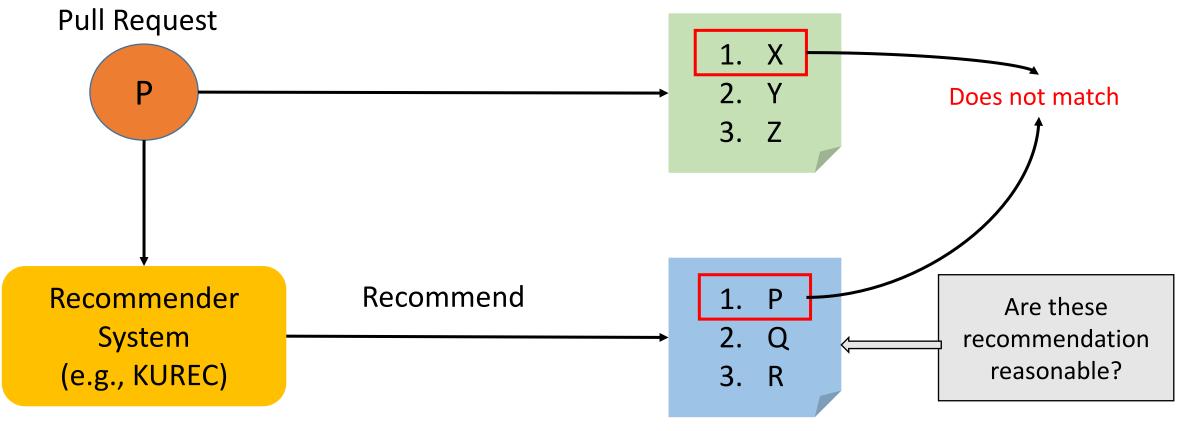
Recommended Reviewers

Actual Reviewers (Ground Truth data)



Recommended Reviewers

Actual Reviewers (Ground Truth data)



Recommended Reviewers

We consider a recommendation to be **reasonable** if the recommended individual had recent (last six months) development experience with the majority (50%) of the files included in the PR in question

Recommender	Percentage of Reasonable recommendations
KUREC	63.4%
ER	60.9%
AD_FREQ	59.4%
AD_HYBRID	54.3%
AD_REC	54.2%
CHREV	32.7%
CF	25.4%
RF	15.2%

Recommender	Percentage of Reasonable recommendations
KUREC	63.4%
ER	60.9%
AD_FREQ	59.4%
AD_HYBRID	54.3%
AD_REC	54.2%
CHREV	32.7%
CF	25.4%
RF	15.2%

Recommender	Percentage of Reasonable recommendations
KUREC	63.4%
ER	60.9%
AD_FREQ	59.4%
AD_HYBRID	54.3%
AD_REC	54.2%
CHREV	32.7%
CF	25.4%
→RF	15.2%

The best-performing baseline RF is the lowest in reasonable recommendation

AD_FREQ strikes the best balance between sticking to the ground truth and reasonable recommendations

The best-performing baseline RF is the lowest in reasonable recommendation

	Recommender	Percentage of Reasonable recommendations
	KUREC	63.4%
	ER	60.9%
•	AD_FREQ	59.4%
	AD_HYBRID	54.3%
	AD_REC	54.2%
	CHREV	32.7%
	CF	25.4%
-	RF	15.2%

Summary of RQ3

KUREC is the recommender with the highest percentage of reasonable recommendations. Yet, **AD_FREQ** strikes the best balance between sticking to the ground truth and issuing reasonable recommendations when those deviate from that ground truth

We address three research questions (RQs)

RQ1: How accurately can KUREC recommend code reviewers in pull requests?

RQ2: Can KUREC be made more accurate by combining it with existing recommenders?

RQ3: How reasonable are those recommendations of KUREC that are not matched with ground truth data?

RQ1: How accurately can KUREC recommend code reviewers in pull requests?

RQ2: Can KUREC be made more accurate by combining it with existing recommenders?

RQ3: How reasonable are those recommendations of KUREC that are not matched with ground truth data?

KUREC outperforms the remaining three baselines and has a more stable performance compared to RF, which is a desired property in practice **RQ1:** How accurately can KUREC recommend code reviewers in pull requests?

RQ2: Can KUREC be made more accurate by combining it with existing recommenders?

RQ3: How reasonable are those recommendations of KUREC that are not matched with ground truth data?

KUREC outperforms the remaining three baselines and has a more stable performance compared to RF, which is a desired property in practice

Summary of RQ2

Combining the KU-based recommender (KUREC) with the baselines in a straight-forward manner results in better-performing recommenders **RQ1:** How accurately can KUREC recommend code reviewers in pull requests?

RQ2: Can KUREC be made more accurate by combining it with existing recommenders?

RQ3: How reasonable are those recommendations of KUREC that are not matched with ground truth data?

KUREC outperforms the remaining three baselines and has a more stable performance compared to RF, which is a desired property in practice

Summary of RQ2

Summary of RQ3

Combining the KU-based recommender (KUREC) with the baselines in a straight-forward manner results in better-performing recommenders **KUREC** is the recommender with the highest percentage of reasonable recommendations. Yet, **AD_FREQ** strikes the best balance between sticking to the ground truth and issuing reasonable recommendations when those deviate from that ground truth

Summary of RQ1

RQ1: How accurately can KUREC recommend code reviewers in pull requests?

RQ2: Can KUREC be made more accurate by combining it with existing recommenders?

KUREC outperforms the remaining three baselines and has a more stable performance compared to RF, which is a desired property in practice

RQ3: How reasonable are those recomp are not matched with grou





md.ahasanuzzaman@queensu.ca

Combining the KU-based recommender (KUREC) with the baselines in a straight-forward manner results in better-performing recommenders of reasonable recommender with the highest percentage of reasonable recommendations. Yet, **AD_FREQ** strikes the best balance between sticking to the ground truth and issuing reasonable recommendations when those deviate from that ground truth

ary of RQ3